

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200207858-1		
	APPLICANT		
	Francisco R mero		
	FILING DATE	GROUP	
	07/10/2003		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/JT/	1A	2002/0129127	09/12/2002	Romero et al.	
/JT/	1B	2002/0016812	02/07/2002	Uchishiba et al.	
/JT/	1C	2002/0069279	06/06/2002	Romero et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
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	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/JT/	1Q	Sun Microsystems, Inc., White Paper, "Transforming the Economics of Computing", Optimizing IT Infrastructure Utilization, 2003, Chapters 1-5, pages 1-10
/JT/	1R	Sun Microsystems, Inc., White Paper, "N1 - Introducing Just in Time Computing", An Executive Brief, 2002, 9 pages
/JT/	1S	Sun Microsystems, Inc., N1 Technology Brief, "The I-Fabric Architecture", A core component of Sun's Terraspring software, 2002, 2 pages

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	2N					
	2O					
	2P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/JT/	2Q	IBM Think Research papers: 1) eWLM: Distributed Computing (2 pages); 2) eWLM: Managing Complexity (2 pages); 3) eWLM: Allocating for Efficiency (2 pages); 4) The Great Balancing Act (2 pages); and 5) eWLM: Autonomic Computing (3 pages); 2002, eWLM: Enterprise Workload Management
/JT/	2R	IBM Presentation: "Workload Management for e-Business: eWLM, Mike Smith, 4/1/2003, 27 pages
/JT/	2S	Francisco Romero, Patent Application (49 pages of specification, claims and abstract, and 4 sheets of drawings), "Dynamic Adaptive Server Provisioning for Blade Architectures", Atty. Docket No. 100110160-1

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	3O					
	3P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/JT/	3Q	"Apparatus and Method to Automatically Activate a Reserve Resource", U.S. Patent Application Serial Number 09/709,705 (22 pages of specification, claims and abstract, and 5 sheets of drawings), filed 11/09/2000, Atty. Docket No. 10002676-1
/JT/	3R	Clifford A. McCarthy, et al., "Dynamic Management of Virtual Partition Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 10/206,594 (27 pages of specification, claims and abstract, and 5 sheets of drawings), filed 7/26/2002, Atty. Docket No. 10010581-1
/JT/	3S	Clifford A. McCarthy, et al., "Dynamic Management of Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 09/493,753 (36 pages of specification, claims and abstract, and 7 sheets of drawings), filed 1/28/2000, Atty. Docket No. 10992091

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	FILING DATE 07/10/2003	GROUP	

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

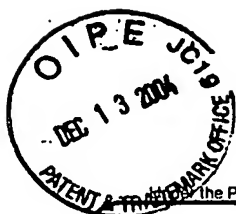
/JT/	4Q	Paul Henry Bouchier, et al., "Reconfiguration Support for a Multi Partition Computer System", U.S. Patent Application Serial No. 09/562,590 (37 pages of specification, claims and abstract, and 4 sheets of drawings), filed 04/29/2000, Atty. Docket No. 10001728-1
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	4S	

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DATE CONSIDERED

04/10/2007



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/616,883
Filing Date	07/10/2003
First Named Inventor	Francisco Romero
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	200207858-1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/JT/		US- 6,088,801	07-11-2000	Grecsek	
/JT/		US- 20040111725	07-10-2004	Srinivasan et al.	
/JT/		US- 20020183972	12-05-2002	Erick et al.	
/JT/		US- 20020169680	01-14-2002	Becker et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	/Jennifer To/	Date Considered	04/10/2007
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/616,883		
		Filing Date	07/10/2003f		
		First Named Inventor	Francisco Romero		
		Art Unit	Not yet assigned		
		Examiner Name	Not yet assigned		
Sheet	2	of	2	Attorney Docket Number	200207858-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/JT/		Examiner Henrik Ebbesen Jensen, Search Report, Application No. GB 0415283.1, November 15, 2004, one page.	

Examiner Signature	/Jennifer To/	Date Considered	04/10/2007
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(Use as many sheets as necessary)

Sheet

of 1

Complete if Known

Application Number	10/616,883
Filing Date	July 10, 2003
First Named Inventor	Francisco Romero
Art Unit	2195
Examiner Name	AN, Meng Ai T.
Attorney Docket Number	200207858-1

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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First Named Inventor	Francisco Romero
Art Unit	2195
Examiner Name	Meng Ai. T. An
Attorney Docket Number	200207858-1

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